

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Kevin P. Connors et al.

Application No.: NEW

Filed: HEREWITH

For: HAIR REMOVAL DEVICE AND
METHOD (AS AMENDED)

Group Art Unit: Unknown

Examiner: Unknown

**INFORMATION DISCLOSURE
STATEMENT**

121 Spear Street, Suite 290
San Francisco, CA 94105
(415) 512-1312

M/S PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant(s) submit(s) herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe(s) may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☒ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits, or before a first office action after filing a Request for Continued Examination under §1.114.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee

(\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) is checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith, except as noted below.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they are (a) either U.S. Patents and this an application filed after June 30, 2003, or (b) were previously cited by or submitted to the Office in a prior application no. 09/998,821, filed November 15, 2001, and application no. 09/270,118, filed March 15, 1999, and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item

- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

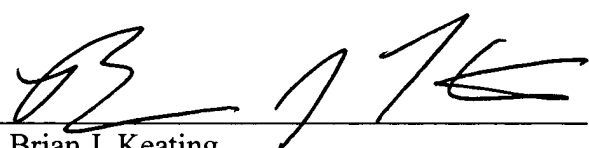
While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: October 15, 2003

By: 
Brian J. Keating
Reg. No. 39,520

Attorneys for Applicant(s)

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) ALTU-530	Application Number NEW
	Applicant(s) Kevin P. Connors et al.	
	Filing Date HEREWITH	Group Art Unit Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	*AA	3,538,919	11/10/1970	Meyer	606	36	04/07/1967
	*AB	3,693,623	09/26/1972	Harte et al.	606	9	12/25/1970
	*AC	3,834,391	09/10/1974	Block	606	9	01/19/1973
	*AD	3,900,034	08/19/1975	Katz et al.	607	89	04/10/1974
	*AE	4,122,853	10/31/1978	Smith	606	4	03/14/1977
	*AF	4,388,924	06/21/1983	Weissman et al.	606	9	05/21/1981
	*AG	4,461,294	07/24/1984	Baron	606	5	01/20/1982
	*AH	4,608,978	09/02/1986	Rohr	606	9	09/26/1983
	*AI	4,617,926	10/21/1986	Sutton	606	9	01/30/1984
	*AJ	4,733,660	03/29/1988	Itzkan	606	9	12/10/1986
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	*AL	4,819,669	04/11/1989	Politzer	132	200	11/26/1986
	*AM	4,829,262	05/09/1989	Furumoto	359	346	12/08/1986
	*AN	4,917,084	04/17/1990	Sinofsky	606	7	03/10/1988
	*AO	5,000,752	03/19/1991	Hoskin et al.	606	9	06/19/1989
	*AP	5,057,104	10/15/1991	Chess	606	9	05/30/1989
	*AQ	5,059,192	10/22/1991	Zaias	606	9	04/24/1990
	*AR	5,182,857	02/02/1993	Simon	30	34.05	09/03/1991
	*AS	5,217,455	06/08/1993	Tan	606	9	08/12/1991
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	*AU	5,258,989	11/02/1993	Raven	372	6	09/12/1991
	*AV	5,282,797	02/01/1994	Chess	606	9	05/28/1991
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	*AX	5,304,170	04/19/1994	Green	606	9	03/12/1993

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	*AY	WO 86/02783	05/09/1986	PCT	H01S	3/08		
	*AZ	WO 95/15725	06/15/1995	PCT	A61B	17/41		
	*BA	WO 98/24514	06/11/1998	PCT	A61B	5/06		

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	*BB	Anderson, "Clinical Use of the LightSheer Diode Laser System," <i>Harvard Medical School</i> , Reprint, March 1998.
	*BC	Bartley et al., "An Experimental Study to Compare Methods of Eyelash Ablation," <i>Ophthalmology</i> , 94:1286-1289 (1987).
	*BD	Boulnois, "Photophysical Processes in Recent Medical Laser Developments: a Review," <i>Lasers in Medical Science</i> , 1:47-64 (1986).
	*BE	Finkelstein et al., "Epilation of Hair-Bearing Urethral Grafts Using the Neodymium:YAG Surgical Laser," <i>J. Urology</i> , 146:840-842 (1991).

Examiner	Date Considered
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) ALTU-530	Application Number NEW
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	*BF	5,312,395	05/17/1994	Tan et al.	606	9	08/21/1992
	*BG	5,344,418	09/06/1994	Ghaffari	606	9	12/12/1991
	*BH	5,397,327	03/14/1995	Koop et al.	606	17	07/27/1993
	*BI	5,405,368	04/11/1995	Eckhouse	607	88	10/20/1992
	*BJ	5,425,728	06/20/1995	Tankovich	606	9	01/19/1993
	*BK	5,474,549	12/12/1995	Ortiz et al.	606	9	02/18/1993
	*BL	5,486,172	01/23/1996	Chess	606	20	01/31/1994
	*BM	5,522,813	06/04/1996	Trelles	606	2	09/23/1994
	*BN	5,527,350	06/18/1996	Grove et al.	607	89	02/24/1993
	*BO	5,595,568	01/21/1997	Anderson et al.	606	9	02/01/1995
	*BP	5,611,795	03/18/1997	Slatkine et al.	606	9	02/03/1995
	*BQ	5,620,478	04/15/1997	Eckhouse	607	88	06/07/1995
	*BR	5,683,380	11/04/1997	Eckhouse et al.	606	9	03/29/1995
	*BS	5,735,844	04/07/1998	Anderson et al.	606	9	01/30/1996
	*BT	6,050,990	04/18/2000	Tankovich et al.	606	9	12/04/1997
	*BU	6,080,147	06/27/2000	Tobinick	606	9	06/10/1998
	*BV	6,168,590	01/02/2001	Neev	606	9	08/11/1998
	*BW	6,228,074	05/08/2001	Almeida	606	9	10/15/1998
	*BX	6,235,015	05/22/2001	Mead, III et al.	606	9	05/12/1998
	*BY	6,383,176	05/07/2002	Connors et al.	606	9	03/15/1999
	*BZ	6,485,484	11/26/2002	Connors et al.	606	9	09/19/1999

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	*CA	WO 98/51235	11/18/1998	PCT	A61F	2/00		
	*CB	WO 99/07438	02/18/1999	PCT	A61F	5/06		
	*CC	WO 99/11324	03/11/1999	PCT	A61F	5/06		

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(Including Author, Title, Date, Pertinent Pages, Etc.)

	*CD	Grossman et al., "Prospective Evaluation of the Argon Laser in the Treatment of Trichiasis," <i>Ophthalmic Surgery</i> , 23:183-187 (1992).
	*CE	Grossman et al., "Experimental Comparison of Laser and CryoSurgical Cilia Destruction," <i>Ophthalmic Surgery</i> , 23: 179-182 (1992).
	*CF	Kuriloff et al., "Pharyngoesophageal Hair Growth: the role of Laser Epilation," <i>Case Reports</i> , 98:342-345 (1988).

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